



Patent
Attorney's Docket No. 032566-011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Otto Z. ZHOU) Group Art Unit: 1741
Application No.: 09/881,684) Examiner: Unassigned
Filed: June 18, 2001)
For: METHOD OF MAKING NANOTUBE-)
BASED MATERIAL WITH ENHANCED)
ELECTRON FIELD EMISSION)
PROPERTIES)

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

BOX: MISSING PART

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.
§ 1.53(b) dated August 9, 2001, enclosed please find:

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the
surcharge of ☐ \$65.00 (205) ☒ \$130.00 (105) as set forth in 37 C.F.R.
§ 1.16(e);
- ☐ Note that the inventor(s) identified on the currently filed Combined
Declaration and Power of Attorney are different than listed on the application
filing papers.
- ☐ a Request for Refund;
- ☐ a Petition for Extension of Time;
- ☐ a verified English translation of the Application, and the \$130.00 (139) fee as set
forth in 37 C.F.R. § 1.17(k);
- ☒ an Assignment document and a separate check for the \$40.00 (581) Assignment
recordation fee;
- ☐ drawings for publication;
- ☒ other Information Disclosure Statement; Substitute Drawings; Preliminary
Amendment;

Transmittal Letter for Missing Parts of Application

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☒ a check in the amount of \$ 130.00 for the fee due for missing parts; and
☐ charge \$ _____ to Deposit Account No. 02-4800 for the fee due for missing parts.

☐ Small entity status is hereby claimed.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

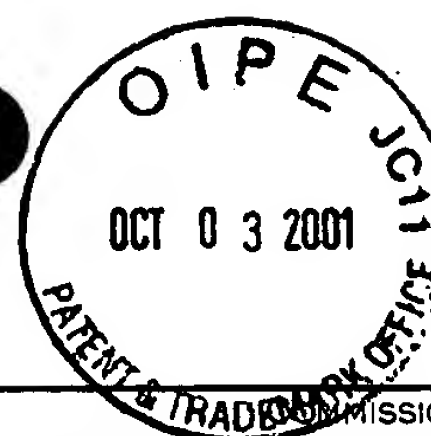
P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

By: 
Ronald L. Grudziecki
Registration No. 24,970

Date:



UNITED STATES PATENT AND TRADEMARK OFFICE



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/881,684	06/18/2001	Otto Z. Zhou	032566-011

CONFIRMATION NO. 8607

FORMALITIES LETTER



OC00000006405758

Ronald L. Grudziecki
BURNS, DOANE, SWECKER & MATHIS, L.L.P.
P.O. Box 1404
Alexandria, VA 22313-1404

Date Mailed: 08/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

10/05/2001 HNDOR1 00000038 09881684

01FC:105

130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice **MUST** be returned with the reply.

BURNS, DOANE, SWECKER &
MATHIS, L.L.P. RECEIVED

AUG 10 2001

DOCKETED

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

0988168 R-1003



Patent
Attorney's Docket No. 032566-011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

In re Patent Application of)
Otto Z. ZHOU)
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For: METHOD OF MAKING NANOTUBE-) Examiner: Unassigned
BASED MATERIAL WITH ENHANCED)
ELECTRON FIELD EMISSION)
PROPERTIES)

SUBMISSION OF SUBSTITUTE DRAWINGS

Box Missing Part
Assistant Commissioner for Patents
Washington, D.C. 20231

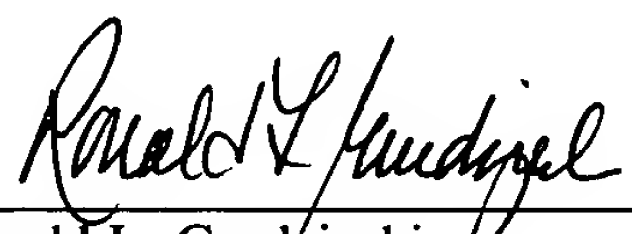
Sir:

Enclosed please find 5 sheet(s) of substitute drawings for review by the Patent and Trademark Office in connection with the Notice to File Missing Parts mailed August 9, 2001. Should the enclosed drawings require changes, it is respectfully requested that the Patent and Trademark Office notify the undersigned of same.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:


Ronald L. Grudziecki
Registration No. 24,970

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

Date: October 3, 2001